



GAMBLING REGULATORY AUTHORITY

## **LICENCE APPLICATION FORM**

**(Under Sections 93B and 94 of the Gambling Regulatory Authority Act 2007)**

**DATE:**

**APPLICATION FOR**      **NEW LICENCE**                     

**RENEWAL OF LICENCE**

**TYPE OF LICENCE:** .....

Specify if applicant is an individual or a company: .....

**Disclaimer:**

1. Applicants should refer to the list of licences provided at annex in order to record the correct type of licence when filling in this application form.
2. Individuals are required to fill in Parts A and C, and companies are required to fill in Parts B and C of the application form
3. Applicants are required to submit supporting documents listed in annex, as applicable, together with the licence application form.

### **1. PART A (*For Individuals*) - APPLICANT INFORMATION**

1.1 Applicant's Name: .....

1.2 National Identity Card Number/ Passport Number: .....

1.3 Residential Address: .....

1.4 Nationality: .....

1.5 Telephone Number: .....

1.6 Email Address: .....

1.7 Personal Management Licence no. (If any): .....



1.8 Employment Details:

1.8.1 Occupation/Designation: .....

1.8.2 Employer Name: .....

1.8.3 Number of years in current employment: .....

1.8.4 Estimated monthly revenue: .....

**2. PART B (For Company) - COMPANY INFORMATION**

2.1 Name of Company: .....

2.2 Trading Name (if different from registered name of company): .....

.....

2.3 Type of Company: Private  Public

2.4 Date of Incorporation: .....

2.5 Business Registration Number: .....

2.6 Registered address: .....

2.7 Address of Operation: .....

2.8 Telephone Number: .....



2.9 Email address: .....

2.10 Name and Designation of main contact person and authorised signatory:

.....  
.....

2.11 Personal Management Licence no. of Directors/Managers or Officers (If any)

.....  
.....

2.12 Beneficial Ownership information\*

2.12.1 Ultimate Beneficial Owner Name: .....

2.12.2 NIC/Passport: .....

2.12.3 Residential address: .....

2.12.4 Contact number: .....

2.12.5 Email: .....

\* Please annex additional sheets to disclose details of any additional ultimate beneficial owner(s). For this section, applicants should refer to the definition of ultimate beneficial owner(s) provided in the Companies Act 2001.



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### 3. PART C – DECLARATION

- |   | YES                      | NO                       |
|---|--------------------------|--------------------------|
| 3.1 I hereby confirm that <b>the company is/ I am (Delete as appropriate)</b> duly qualified under Section 93 to be holder of a licence.  | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.2 I hereby confirm that no <b>substantial shareholder</b> (within the meaning of Companies Act 2001) of the company <b>is convicted of any offence</b> under the Gambling Regulatory Authority Act or any offence involving fraud or dishonesty or is in breach of regulations made under the Gambling Regulatory Authority Act - <b>Applicable to Companies only</b> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.3 Have you or in the case of a company, your directors, managers, officers or any person having direct or indirect interest (tick as appropriate):  |                          |                          |
| (a) been subject to an ongoing investigation by any law enforcement agency in Mauritius or abroad?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) ever been convicted of an offence, by any law enforcement agency in Mauritius or abroad including if charged with an offence under any enactment but awaiting trial, or under investigation?  |                          |                          |
| (c) ever been subject to civil legal action, whether personally or as a representative of a body corporate?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) ever had any liquidity problem or been declared bankrupt under the Bankruptcy Act or Insolvency Act?  |                          |                          |
| (e) been involved in any public procurement exercise?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.4 Are you an office bearer including a nominee director in any local company or a company abroad or are you a party to any joint venture or any Société having a direct or interest in the activities covered by your licence?  | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.5 Are you a politically exposed person or are you connected to a politically exposed person in any way?   | <input type="checkbox"/> | <input type="checkbox"/> |
| 3.6 Has there been any change in Directors, shareholders or beneficial owners of the company?   | <input type="checkbox"/> | <input type="checkbox"/> |



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*\*\*If any of the above questions have been answered in the affirmative, please annex further information and any relevant supporting documents.*

**4. APPLICANT SIGNATURE AND DECLARATION**

4.1 I .....  
(Name)

.....  
(Designation)

Acting on behalf of .....  
(Name of Company, where applicable)

hereby apply for a licence, as specified in this application form, and declare that the information given above and in attached sheet(s) are true and correct.



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## ANNEX

### SUPPORTING DOCUMENTS

\*Disclaimer: In addition to the below list of compulsory supporting documents, the GRA may require applicants to submit any additional document or information which may be required during the assessment of the licence application.

#### **1. INDIVIDUALS (Compulsory)**

- (i) NIC/Passport
- (ii) Two passport size photos
- (iii) Proof of address (*less than 3 months*) or rental agreement, Birth certificate, marriage certificate, as applicable
- (iv) Occupation permit (*Mandatory for foreign nationals*)
- (v) Bank reference letter (*Original and less than 6 months*)
- (vi) Last 6 months bank statement
- (vii) Latest tax return
- (viii) Certificate of character\*
- (ix) Declaration of assets and liabilities

#### **2. COMPANIES (Compulsory)**

- (i) Business Registration Number
- (ii) Certificate of Incorporation
- (iii) Certificate of good standing
- (iv) Register(s) of Directors, Shareholders and Beneficial Owners bearing the full name, National Identity Card number/valid passport number, nationality, residential address, designation and percentage interest (as applicable) of the concerned persons.
- (v) Copy of NIC or Passport and Proof of Address to be provided for directors, shareholders and beneficial owner listed in the registers provided
- (vi) A valid certificate of character is also required for each director, shareholder and beneficial owner/ultimate beneficial owner
- (vii) Bank Reference letter
- (viii) Latest Financial Report for the Company.
- (ix) Feasibility report
- (x) Board resolution for the appointment of the Authorised Signatory

#### **3. HORSE OWNERS APPLYING FOR PERSONAL MANGEMENT LICENCE**

- (i) Details of employment
- (ii) Source of Fund (*applicable for horse owners only – please annex documentary evidence*)
- (iii) Curriculum Vitae
- (iv) Last 3 months payslips
- (v) Last 6 months bank statement

12<sup>th</sup> Floor, Newton Tower, Sir William Newton Street, Port Louis, Mauritius  
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- (vi) Latest tax return
- (vii) Business Registration Number (*if self-employed*)
- (viii) Declaration of assets and liabilities

**4. LOCAL TRACKWORK RIDER (In addition to compulsory documents)**

- (i) Medical History and Clearance form
- (ii) Letter of recommendation from trainer
- (iii) Letter of recommendation from horse racing organiser (*where services will be offered*)
- (iv) Insurance/ Indemnity Cover (*To secure insurance cover with the horse racing organiser*)
- (v) Barrier Trial result

**5. FOREIGN TRACKWORK RIDER (In addition to compulsory documents)**

- (i) Occupation Permit
- (ii) Medical History and Clearance form
- (iii) Letter of recommendation from trainer
- (iv) Letter of recommendation from horse racing organiser
- (v) Insurance/ Indemnity Cover (*To secure insurance cover with the horse racing organiser*)
- (vi) Contract of employment/ Letter of undertaking

**6. APPRENTICE JOCKEY (In addition to compulsory documents)**

- (i) Medical History and clearance form
- (ii) Letter of recommendation from trainer
- (vii) Insurance/Indemnity cover (*To secure insurance cover with the horse racing organiser*)
- (iii) Indentures (*To be submitted if licensed*)
- (iv) Barrier Trial Result

**7. LOCAL JOCKEY (In addition to compulsory documents)**

- (i) Riding and disciplinary record
- (ii) Medical history and clearance form
- (iii) If ridden abroad:
  - (a) Clearance from overseas Authority;
  - (b) Disciplinary Record
- (iv) Letter of intent/undertaking from trainer (*Contract of Employment shall be submitted once licensed*)

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- (v) Insurance/ Indemnity Cover (*To secure insurance cover with the horse racing organiser*)
- (vi) Declaration of assets and liabilities

**8. FOREIGN JOCKEY (In addition to compulsory documents)**

- (i) Residential Permit
- (ii) Occupation Permit
- (iii) Medical history and clearance form
- (iv) Clearance from overseas Authority
- (v) Riding and disciplinary record (*from Home Authority and Place where last rode*)
- (vi) Letter of intent/undertaking from trainer (*Contract of Employment must be submitted once licensed*)
- (vii) Insurance/ Indemnity Cover (*To secure insurance cover with the horse racing organiser*)
- (viii) Bank guarantee letter
- (ix) Declaration of assets and liabilities

**9. ASSISTANT TRAINER AND STABLE SUPERVISOR (In addition to compulsory documents)**

- (i) Curriculum Vitae and qualifications
- (ii) Letter of recommendation from trainer
- (iii) If foreigner,
  - (a) Residence permit
  - (b) Occupation permit
  - (c) Clearance from Authority
- (iv) Past performance, including Disciplinary record
- (v) Declaration of assets and liabilities
- (vi) Signed Integrity Pledge

**10. TRAINERS (In addition to compulsory documents)**

- (i) Curriculum Vitae and qualifications
- (ii) Clearance from Home Authority (*Racing as well as Forfeit List Clearance*), where applicable
- (iii) Disciplinary and performance record
- (iv) Proof of Financial Stability, including last 6 months bank statement showing evidence of transaction and funds (*From account which will be used for transaction*)
- (v) Stable Location
- (vi) Stable rent agreement as applicable

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- (vii) Signed Integrity pledge
- (viii) Declaration of assets and liabilities
- (ix) 3 years' business plan, including source of revenue/ source of funds (*If Trainer is employed, business plan to be provided by employer*)
- (x) Bank guarantee MUR 3 million (*If trainer is employed, bank guarantee to be provided by employer*)
- (xi) Contract with Owners
- (xii) List of horses as per applicable conditions

#### **11. Equestrian Centre Licence**

- (i) Proof of Ownership/ Lease agreement
- (ii) Plan of Equestrian centre (*including: Perimeter fence, no. of boxes, paddocks, sand pits, tracks, security measures*)
- (iii) Relevant authorisations from Local Government, Ministry of Environment and Ministry of Agro Industry, Food Security, Blue Economy and Fisheries